SOFT STORY RETROFIT PROGRAM STATUS AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 12,645
  - COMPLIED: 10,884
  - PENDING COMPLIANCE: 588
  - EXTENDED: 6,755
  - PASS DUE: 860
  - PENDING COMPLIANCE: 9,286

  - **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: 10,884
  - **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: 5,820
  - **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: 3,359
SOFT STORY RETROFIT PROGRAM STATUS FOR APARTMENT BUILDINGS AS OF December 2, 2019

<table>
<thead>
<tr>
<th>NUMBER OF BUILDINGS</th>
<th>2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)</th>
<th>3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)</th>
<th>7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOFT STORY BUILDINGS</td>
<td>11,385</td>
<td>5,839</td>
<td>8,145</td>
</tr>
<tr>
<td>COMPLIED</td>
<td>10,229</td>
<td>5,476</td>
<td>3,240</td>
</tr>
<tr>
<td>PENDING COMPLIANCE</td>
<td>286</td>
<td>PENDING COMPLIANCE</td>
<td>PENDING COMPLIANCE</td>
</tr>
<tr>
<td>EXTENDED, 352</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PASS DUE, 518</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PASS DUE, 69</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>90%</td>
<td></td>
<td>48%</td>
<td>28%</td>
</tr>
</tbody>
</table>

SOFT STORY BUILDINGS

- 11,385 COMPLIED
- 10,229 COMPLIED
- 5,839 COMPLIED
- 8,145 EXTENDED
- 352 PASS DUE
- 518 PASS DUE
- 69 PASS DUE
- 28% PENDING

Graph showing the number of buildings and their compliance status as of December 2, 2019.
SOFT STORY RETROFIT PROGRAM STATUS FOR PHASE 1 AS OF December 2, 2019

<table>
<thead>
<tr>
<th>Number of Buildings</th>
<th>Soft Story Buildings; 12,645</th>
<th>Required to Comply as of December 2, 2019; 12,057</th>
<th>Complied with Phase One (Plans Submitted)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pass Due</td>
<td>7%</td>
<td>EXTENDED; 363</td>
<td>COMPLIED; 10,884</td>
</tr>
<tr>
<td>Not Due to Comply as of December 2, 2019</td>
<td>588</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>SOFT STORY BUILDINGS; 12,645</td>
<td>REQUIRED TO COMPLY AS OF DECEMBER 2, 2019; 12,057</td>
<td>COMPLIED WITH PHASE ONE (PLANS SUBMITTED)</td>
</tr>
</tbody>
</table>
SOFT STORY BUILDING UNITS 174,166

- 65% COMPLIED, 112,448
- 27% PENDING COMPLIANCE, 61,718
- 12% PENDING COMPLIANCE, 127,919

SOFT STORY BUILDINGS 2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)

- 65% COMPLIED, 112,448
- 3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)
  - 27% PENDING COMPLIANCE, 127,919
  - 7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)
  - 12% COMPLIED, 21,362
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 1 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 436
  - **Complied**: 380
  - **Pending Compliance**: 56
  - **Pass Due**: 29
  - **Extended**: 9

- **2 Year Compliance Date (Plans Submitted)**: 380
  - **Complied**: 380

- **3.5 Year Compliance Date (Permits Issued)**: 205
  - **Complied**: 205

- **7 Year Compliance Date (Obtained Certificates of Compliance)**: 96
  - **Complied**: 96
## Soft Story Retrofit Program Status for CD 2 As of December 2, 2019

<table>
<thead>
<tr>
<th>Status</th>
<th>Buildings</th>
<th>2 Year Compliance Date (Plans Submitted)</th>
<th>3.5 Year Compliance Date (Permits Issued)</th>
<th>7 Year Compliance Date (Obtained Certificates of Compliance)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Complied</td>
<td>1,160</td>
<td></td>
<td>644</td>
<td>399</td>
</tr>
<tr>
<td>Pending Compliance</td>
<td>162</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Pass Due, 73</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Extended, 28</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extended, 0</td>
<td></td>
<td></td>
<td>770</td>
<td></td>
</tr>
<tr>
<td>Pending Compliance</td>
<td>700</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pass Due, 9</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extended, 0</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>1,423</td>
<td></td>
<td>1,024</td>
<td>1,024</td>
</tr>
</tbody>
</table>

### Notes
- **Soft Story Buildings**: 1,423
- **Percentage COMPLIED**: 82%
- **Percentage PENDING**: 45%
- **Percentage 7 YEAR COMPLIANCE**: 28%
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 3 AS OF December 2, 2019

- SOFT STORY BUILDINGS: 691
- COMPLIED: 553
- PENDING COMPLIANCE: 24
- EXTENDED, 4
- PASS DUE, 110
- PASS DUE, 7
- 80% 48% 20%

- Number of buildings:
  - 2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)
    - SOFT STORY BUILDINGS
    - COMPLIED 553
  - 3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)
    - COMPLIED 333
    - PENDING COMPLIANCE 350
    - EXTENDED, 1
  - 7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)
    - COMPLIED 135
    - PENDING COMPLIANCE 556
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 4 AS OF December 2, 2019

SOFT STORY BUILDINGS 1,328

90% COMPLIED 1,199
PENDING COMPLIANCE 32

50% COMPLIED 666
PENDING COMPLIANCE 652

28% COMPLIED 370
PENDING COMPLIANCE 958
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 5 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 1,891
- **Complied**: 1,670
- **Pending Compliance**: 907
- **Pending**: 549
- **Extended, Pass Due**: 66
- **Passed Due**: 83

- **2 Year Compliance Date (Plans Submitted)**: 1,891
- **3.5 Year Compliance Date (Permits Issued)**: 1,670
- **7 Year Compliance Date (Obtained Certificates of Compliance)**: 907

- **Extended, 0**: 72
- **Pass Due, 6**: 0
SOFT STORY RETROFIT PROGRAM STATUS FOR CD6 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 650
  - **Complied**: 569 (88%)
  - **Pending Compliance**: 34
  - **Extended, 7**: 0
  - **Pass Due, 40**: 492
  - **Pending Compliance, 371**: 41%
  - **Extended, 0**: 10
  - **Pass Due, 10**: 88%
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 7 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 130
  - **COMPLIED**: 102
  - **PENDING**: 97
  - **EXTENDED**: 1
  - **PASS DUE**: 23

Bar chart showing:
- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: 78%
  - COMPLIED: 102
  - PENDING: 4
  - EXTENDED: 1
  - PASS DUE: 23

- **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: 43%
  - COMPLIED: 56
  - PENDING: 73
  - EXTENDED: 0
  - PASS DUE: 1

- **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: 25%
  - COMPLIED: 33
  - PENDING: 97
  - EXTENDED: 0
  - PASS DUE: 1
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 8 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 439
- **Complied**: 354
- **Pending Compliance**: 15
- **Extended**: 28
- **Pass Due**: 42
- **7 Year Compliance Date (Obtained Certificates of Compliance)**: 348
- **3.5 Year Compliance Date (Permits Issued)**: 163
- **2 Year Compliance Date (Plans Submitted)**: 276

**Graph Details**:
- The graph shows the status of retrofit programs as of December 2, 2019.
- Approximately 81% of buildings have submitted plans, 37% have permits issued, and 21% have obtained certificates of compliance.
- The graph includes sections for each compliance deadline and shows the number of buildings in each category.
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 9 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 115
  - Complied: 99
  - Pending Compliance: 2
  - Extended: 4
  - Pass Due: 10

- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: 86%
  - Complied: 51
  - Pending Compliance: 64
  - Extended: 0
  - Pass Due: 0

- **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: 44%
  - Complied: 33
  - Pending Compliance: 82
  - Extended: 0
  - Pass Due: 0

- **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: 29%
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 10 AS OF December 2, 2019

SOFT STORY BUILDINGS 1,254

2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)

3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)

7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 11 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 2,051
- **COMPLIED**: 1,766 (86%)
- **PENDING**: 942 (46%)
- **EXTENDED**: 572 (28%)

**Number of Buildings**
- **SOFT STORY BUILDINGS**: 2,051
- **2 YEAR COMPLIANCE DATE** (PLANS SUBMITTED): 1,766
- **3.5 YEAR COMPLIANCE DATE** (PERMITS ISSUED): 942
- **7 YEAR COMPLIANCE DATE** (OBTAINED CERTIFICATES OF COMPLIANCE): 572
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 1 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 436
  - 380 COMPLETED
  - 205 COMPLETED
  - 96 COMPLETED

- **Pending Compliance**: 18
  - 229 PENDING
  - 340 PENDING

- **Extended, Pass Due**
  - 2 PASS DUE
  - 0 EXTENDED

- **2 Year Compliance Date (Plans Submitted)**
  - 87%

- **3.5 Year Compliance Date (Permits Issued)**
  - 47%

- **7 Year Compliance Date (Obtained Certificates of Compliance)**
  - 22%
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 13 AS OF December 2, 2019

- **TOTAL BUILDINGS:** 1,208
- **Complied:** 1,090
- **Pending Compliance:** 29
- **Extended:** 610
- **Pass Due:** 0
- **90% Compliance:**
  - 1,090 buildings (90% of total)
- **49% Compliance:**
  - 610 buildings (49% of total)
- **29% Compliance:**
  - 29 buildings (29% of total)

**Timeline:**
- **2 Year Compliance Date (Plans Submitted):**
  - 1,090 buildings
- **3.5 Year Compliance Date (Permits Issued):**
  - 592 buildings
  - 610 buildings pending
- **7 Year Compliance Date (Obtained Certificates of Compliance):**
  - 349 buildings
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 14 AS OF December 2, 2019

- SOFT STORY BUILDINGS: 259
- COMPLIED: 204
- PENDING COMPLIANCE: 155
- EXTENDED: 10
- PASS DUE: 29
- 79% of buildings have submitted plans.
- 40% of buildings have obtained permits.
- 24% of buildings have obtained certificates of compliance.

Legend:
- Yellow: Soft Story Buildings
- Green: Complied
- Red: Pass Due
- Blue: Extended
- Orange: Pending Complianc
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 15 AS OF December 2, 2019

- **SOFT STORY BUILDINGS**: 456
  - **Complied**: 377
  - **Pending Compliance**: 33
  - **Extended**: 18
  - **Pass Due**: 28
  - **Extended, 0%**

- **2 Year Compliance Date (Plans Submitted)**
  - **Complied**: 377
  - **Pending Compliance**: 33
  - **Extended**: 18
  - **Pass Due**: 28

- **3.5 Year Compliance Date (Permits Issued)**
  - **Complied**: 296
  - **Pending Compliance**: 159
  - **Extended**: 0
  - **Pass Due**: 1

- **7 Year Compliance Date (Obtained Certificates of Compliance)**
  - **Complied**: 359
  - **Pending Compliance**: 97
  - **Extended**: 0