SOFT STORY RETROFIT PROGRAM STATUS AS OF September 6, 2019

SOFT STORY BUILDINGS
12,609

COMPLIED
10,019

PENDING COMPLIANCE
2,030

EXTENDED, 114
PENDING COMPLIANCE
2,030

PENDING COMPLIANCE
7,405

79%
41%
23%

10,019
7,405
2,869

SOFT STORY BUILDINGS
2 YEAR COMPLIANCE DATE
(PLANS SUBMITTED)

3.5 YEAR COMPLIANCE DATE
(PERMITS ISSUED)

7 YEAR COMPLIANCE DATE
(OBTAINED CERTIFICATES OF COMPLIANCE)
SOFT STORY RETROFIT PROGRAM STATUS FOR APARTMENT BUILDINGS AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 11,344
  - **COMPLIED**: 9,440 (83%)
  - **PENDING COMPLIANCE**: 1,344
  - **EXTENDED**: 114
  - **PASS DUE**: 446

- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**
  - **COMPLIED**: 9,440
  - **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**
    - **COMPLIED**: 4,887
    - **PENDING COMPLIANCE**: 6,457
  - **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**
    - **COMPLIED**: 2,759
    - **PENDING COMPLIANCE**: 8,585

Number of Buildings

- **SOFT STORY BUILDINGS**: 11,344
- **2 YEAR COMPLIANCE DATE**: 9,440
- **3.5 YEAR COMPLIANCE DATE**: 4,887
- **7 YEAR COMPLIANCE DATE**: 2,759
SOFT STORY RETROFIT PROGRAM STATUS FOR CONDO BUILDINGS AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 1,265
  - **COMPLIED**: 579 (46%)
    - **PENDING COMPLIANCE**: 686 (54%)
      - **EXTENDED**: 0
      - **PASS DUE**: 0
  - **COMPLIED**: 317 (25%)
  - **COMPLIED**: 110 (9%)

- **NUMBER OF BUILDINGS**
  - **SOFT STORY BUILDINGS**: 1,265
  - **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: EXTENDED, 0
    - **PENDING COMPLIANCE**: 686
      - **COMPLIED**: 579
  - **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: PENDING COMPLIANCE 948
    - **COMPLIED**: 317
  - **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: PENDING COMPLIANCE 1,155
    - **COMPLIED**: 110
September 6, 2019

SOFT STORY RETROFIT PROGRAM STATUS FOR PHASE 1 AS OF September 6, 2019

SOFT STORY BUILDINGS; 12,609

NOT DUE TO COMPLY AS OF SEPTEMBER 3, 2019; 2,030

REQUIRED TO COMPLY AS OF SEPTEMBER 3, 2019; 10,579

COMPLIED; 10,019

EXTENDED; 114

PASS DUE; 446

Pass Due 4.2%
<table>
<thead>
<tr>
<th>Number of Units</th>
<th>Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOFT STORY BUILDING UNITS</td>
<td>173,037</td>
</tr>
<tr>
<td>COMPLIED</td>
<td>112,317</td>
</tr>
<tr>
<td>PENDING COMPLIANCE</td>
<td>60,720</td>
</tr>
<tr>
<td>2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)</td>
<td>65%</td>
</tr>
<tr>
<td>COMPLIED</td>
<td>46,295</td>
</tr>
<tr>
<td>PENDING COMPLIANCE</td>
<td>151,675</td>
</tr>
<tr>
<td>3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)</td>
<td>27%</td>
</tr>
<tr>
<td>COMPLIED</td>
<td>21,362</td>
</tr>
<tr>
<td>PENDING COMPLIANCE</td>
<td>126,742</td>
</tr>
<tr>
<td>7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)</td>
<td>12%</td>
</tr>
</tbody>
</table>
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 1 AS OF September 6, 2019

<table>
<thead>
<tr>
<th>Number of Buildings</th>
<th>Compliance Status</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>437</td>
<td>COMPLIED</td>
<td>351</td>
</tr>
<tr>
<td></td>
<td>EXTENDED, 4 PENDING COMPLIANCE</td>
<td>67</td>
</tr>
<tr>
<td></td>
<td>PENDING COMPLIANCE</td>
<td>247</td>
</tr>
<tr>
<td></td>
<td>PENDING COMPLIANCE</td>
<td>357</td>
</tr>
<tr>
<td></td>
<td>COMPLIED</td>
<td>190</td>
</tr>
<tr>
<td></td>
<td>COMPLIED</td>
<td>80</td>
</tr>
<tr>
<td></td>
<td>PASS DUE, 15</td>
<td>80</td>
</tr>
<tr>
<td></td>
<td>80%</td>
<td>43%</td>
</tr>
<tr>
<td></td>
<td>18%</td>
<td></td>
</tr>
</tbody>
</table>
CD 2 AS OF September 6, 2019

SOFT STORY RETROFIT PROGRAM STATUS FOR

<table>
<thead>
<tr>
<th>SOFT STORY BUILDINGS</th>
<th>2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)</th>
<th>3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)</th>
<th>7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,434</td>
<td>1,065 COMPLIED</td>
<td>580 COMPLIED</td>
<td>854 PENDING COMPLIANCE</td>
</tr>
<tr>
<td></td>
<td>326 PENDING COMPLIANCE</td>
<td></td>
<td>1,106 PENDING COMPLIANCE</td>
</tr>
<tr>
<td></td>
<td>74% PASS DUE, 38</td>
<td>40%</td>
<td>23%</td>
</tr>
</tbody>
</table>

SOFT STORY BUILDINGS 2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 3 AS OF September 6, 2019

- **SOFT STORY BUILDINGS**
  - Total: 698
  - COMPLIED: 513
  - PENDING: 169
- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**
  - COMPLIED: 513
  - EXTENDED, 2 PENDING COMPLIANCE: 169
- **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**
  - COMPLIED: 303
  - PENDING COMPLIANCE: 395
- **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**
  - COMPLIED: 119
  - PENDING COMPLIANCE: 579

Bar chart showing the compliance status of soft story buildings in CD 3 as of September 6, 2019.
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 5 AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 1,877
  - **Complied**: 1,519 (81%)
  - **Pending Compliance**: 276 (15%)
  - **Extended, Pass Due**: 59 (3%)

- **2 Year Compliance Date (Plans Submitted)**: 1,519
  - **Complied**: 1,250
  - **Pending Compliance**: 269

- **3.5 Year Compliance Date (Permits Issued)**: 1,066
  - **Complied**: 811
  - **Pending Compliance**: 255

- **7 Year Compliance Date (Obtained Certificates of Compliance)**: 1,409
  - **Complied**: 1,008
  - **Pending Compliance**: 318
  - **Extended, Pass Due**: 105

- **Total Compliance**:
  - **81%**
  - **43%**
  - **25%**
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 6  AS OF  September 6, 2019

- **SOFT STORY BUILDINGS**: 645
  - Complied: 532 (82%)
  - Pending Compliance: 131 (20%)
  - Extended, Pass Due: 6 (1%)

- 2 Year Compliance Date (Plans Submitted): 532
- 3.5 Year Compliance Date (Permits Issued): 402
- 7 Year Compliance Date (Obtained Certificates of Compliance): 514
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 7 AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 132
- **COMPLIED**: 91
- **PENDING COMPLIANCE**: 39
- **EXTENDED**: 0
- **PASS DUE**: 2

- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: 120
- **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: 80
- **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: 40

- **69%**
- **37%**
- **21%**
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 8  AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 441
  - **COMPLIED**: 307
  - **PENDING COMPLIANCE**: 89
  - **EXTENDED**: 11
  - **PASS DUE**: 34

- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: 307
  - **COMPLETED**: 307

- **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: 295
  - **COMPLETED**: 146
  - **PENDING COMPLIANCE**: 149

- **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: 368
  - **COMPLETED**: 73
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 9 AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 121
- **Complied**: 88
- **Pending Compliance**: 25
- **Extended**: 1
- **Pass Due, 7**: 7
- **73%**: 40
- **40%**: 23
- **7 Year Compliance Date (Obtained Certificates of Compliance)**: 93
- **Pending Compliance**: 73
- **Complied**: 48
- **Complied**: 28

The bar chart illustrates the compliance status of soft story buildings as of September 6, 2019.
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 10 AS OF September 6, 2019

- **SOFT STORY BUILDINGS:** 1,249
- **COMPLIED:** 1,020
- **PENDING COMPLIANCE:** 172
- **EXTENDED, 15 PASS DUE, 42:**
  - 82% of buildings have passed due
  - 43% have permits issued
  - 23% have obtained certificates of compliance

Graph shows the number of buildings and their status as of September 6, 2019.
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 11 AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 2,031
  - **COMPLIED**: 1,651
  - **PENDING**: 276
  - **EXTENDED, PASS DUE**: 17
  - **81%**

- **2 YEAR COMPLIANCE DATE (PLANS SUBMITTED)**: 1,651
  - **COMPLIED**: 1,651
  - **PENDING**: 0
  - **42%**

- **3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED)**: 1,184
  - **COMPLIED**: 847
  - **PENDING**: 337
  - **25%**

- **7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE)**: 1,530
  - **COMPLIED**: 501
  - **PENDING**: 1,029
  - **25%**
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 12 AS OF September 6, 2019

- SofStory Buildings: 309
- Complied: 239
- Pending Compliance: 65
- 2 Year Compliance Date (Plans Submitted): 77%
- 3.5 Year Compliance Date (Permits Issued): 38%
- 7 Year Compliance Date (Obtained Certificates of Compliance): 20%
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 13 AS OF September 6, 2019

<table>
<thead>
<tr>
<th>Soft Story Buildings</th>
<th>2 Year Compliance Date (Plans Submitted)</th>
<th>3.5 Year Compliance Date (Permits Issued)</th>
<th>7 Year Compliance Date (Obtained Certificates of Compliance)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1,198</td>
<td>85%</td>
<td>44%</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>COMPRIED</strong> 1,022</td>
<td><strong>PENDING COMPLIANCE</strong> 665</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>PENDING COMPLIANCE</strong> 121</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>PENDING COMPLIANCE</strong> 121</td>
<td><strong>PENDING COMPLIANCE</strong> 665</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>PENDING COMPLIANCE</strong> 533</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>PENDING COMPLIANCE</strong> 309</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>PENDING COMPLIANCE</strong> 309</td>
<td></td>
</tr>
</tbody>
</table>
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 14 AS OF September 6, 2019

- SOFT STORY BUILDINGS: 260
  - 68% COMPLIED: 177
  - 35% PENDING COMPLIANCE: 67
  - 20% EXTENDED, PASS DUE, COMPLIANCE: 13

- 2 YEAR COMPLIANCE DATE (PLANS SUBMITTED):
  - COMPLIED: 177

- 3.5 YEAR COMPLIANCE DATE (PERMITS ISSUED):
  - COMPLIED: 92
  - PENDING COMPLIANCE: 168

- 7 YEAR COMPLIANCE DATE (OBTAINED CERTIFICATES OF COMPLIANCE):
  - COMPLIED: 53
  - PENDING COMPLIANCE: 207
SOFT STORY RETROFIT PROGRAM STATUS FOR CD 15 AS OF September 6, 2019

- **SOFT STORY BUILDINGS**: 453
  - **COMPLIED**: 339
  - **PENDING COMPLIANCE**: 135
  - **PENDING COMPLIANCE**: 79
  - **EXTENDED**: 3
  - **PASS DUE**: 19

In terms of frequencies:
- **2 YEAR COMPLIANCE DATE**: 75%
- **3.5 YEAR COMPLIANCE DATE**: 30%
- **7 YEAR COMPLIANCE DATE**: 17%